



Production Readiness Review (PRR)

Financial Management System
(FMS Release 3.3)

July 30, 2001





Business Case

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supporting business case.





Summary of Approach

- Phase III FMS will roll out in multiple releases
- Release 3.1 (The first LEAP/SLEAP release) went live on April 1, 2001
- Release 3.2 (the current LEAP/SLEAP release) went live on June 1, 2001
- This release 3.3 will roll out on August 1, 2001
- Analysis and design were confirmed with SFA stakeholders





Overview of Cost and Schedule Results and Issues

- Build phase completed:
 - DCS: 7/01/01
 - FFEL Lender: 7/01/01
 - LEAP/SLEAP: 07/24/01
- Test phase completed:
 - DCS: 7/20/01
 - FFEL Lender: 7/20/01
 - LEAP/SLEAP: 7/26/01
- The FMS Project is on schedule and within budget at this time
- There are no open cost or schedule issues





Release Change

- Originally, the 8/1 FMS Release 3.3 was intended to include Pell, Direct Loan, Campus Based, and the Interim Payment Process. Now, those programs will move to the next FMS Release in Phase III.
- Reason for move: Department of Education (GAPS and FMSS) development is not complete or ready to test with SFA. Pell, Direct Loan, Campus Based, and the Interim Payment Process all involve GAPS for payments and Department of Education FMSS. The proposed release strategy will divide the remaining programs between two additional releases.





Description of 8/1 Release

- The DCS and FFEL Lender production interfaces will feed to FMS for two months beginning 8/1
- A beginning balance conversion strategy is being documented which will address the 10/1 conversion of DCS and FFEL Lender transactions
- The CFO team will do production work in FMS and ieFARS from 8/1 to 10/1
- LEAP SLEAP Performance Report will also go live on 8/1





Testing Summary

Type of Test	Teams*		
	Development	Training	Functional
Unit	x		
Integration	x	x	x
Design Test	x	x	x
Regression			x
*Teams include members of SFA CFO, FMS, FP, SFA's CIO Representative			

- Unit Test: technical testing, including production files
- Integration Test: business process testing
- Design Test: testing with users to validate the design
- Regression Test: testing of existing solution after new code migrated





- ***Testing Summary - DCS and FFEL Lender***

% Test Scripts Executed **100%**

Note: A new requirement was raised in the middle of testing which required development of a 12th test script. That test script will be executed during testing for the final release.

- **Remaining script can not be tested until release for October**
- **Number of issues reported** **6**
- **Number of issues resolved** **6**
- **Number of outstanding issues / addressed but not re-tested** **0**
- **Number of outstanding issues / to be corrected** **0**



- ***Testing Summary – FFEL GA Regression Testing***

% Test Scripts Executed 100%

- **Number of issues reported 3**
- **Number of issues resolved 2**
- **Number of outstanding issues / addressed but not re-tested 0**
- **Number of outstanding issues / to be corrected 1 ***

*** Outstanding issue is not a Phase III development issue, but a previously existing production issue that is tracked within Operations Support change request process for Phase II**



- ***Testing Summary - LEAP/SLEAP***

% Test Scripts Executed **100%**

- **Number of issues reported** **32**
- **Number of issues resolved** **32**
- **Number of outstanding issues / addressed but not re-tested** **0**
- **Number of outstanding issues / to be corrected** **0**



Testing Summary Continued

- Results
 - Successful conclusion of test phases
 - Existing hardware and architecture will support Release 3.3 go live





Collaboration

Area	Responsible Party	Recommendation
VDC	Dave Lass	Approved
Security	Andy Boots	Approved
SFA CIO IT Mgmt	Denise Hill	Approved
Applications Maintenance	Shirley Singleton	Approved
Help Desk Readiness	Shirley Singleton	Approved
Users: CFO Accounting	Quang Nguyen, David Yang	Approved
Users: Financial Partner LEAP SLEAP	Greg Gerrans	Approved





Independent Quality Assurance

- The FMS Team follows the Capability Maturity Model Principles to ensure quality within its processes and solutions
- External IV&V
 - Issues raised by QC Team resolved 7/26





Summary of Risks & Mitigation Approach

- Approach for Section 508 Architecture Standards:
 - COTS Mitigation: Oracle Corporation's accessibility program began in 1999. Details can be reviewed at <http://www.oracle.com/accessibility/summary.html>
 - July 2001: Secured Oracle letter of certification of 508 Compliance plan





Project Supporting Documentation (separate binder)

- PRR Presentation
- Release Checklist
- Business Case
- Test Plan
- Test Scripts
- SIR Log





Sign Off Memorandum

SFA Channel/ Business Unit IPT Lead

John Reeves (Financial Partners General Manager)

Johan Bos-Beijer (F/B/O FP GM)

Executive Sponsor

Jim Lynch (Chief Financial Officer)

CIO Office

Steve Hawald (Chief Information Officer)

Quality Assurance

Mike Rockis

